



01-26-24

Docket No. 7156/SYNX/JB

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Inventor(s): Martin R. Elliott, Michael R. Rice, Robert B. Lowrance, Jeffrey C. Hudgens and Eric A. Enghardt
Title: SUBSTRATE CARRIER HAVING DOOR LATCHING AND SUBSTRATE CLAMPING MECHANISMS
Serial No.: 10/650,311
Filed: August 28, 2003
Group Art Unit: 3652

Transmitted herewith is:

- ☒ Information Disclosure Statement
☒ PTO Form 1449 with three cited references
☒ Return Postcards

| FEE CALCULATION | | | | | |
|--------------------|--------------|-------------------------|--------------|-----------|--------|
| Fee Items | Claims Filed | Included With Basic Fee | Extra Claims | Fee Rate | Total |
| Total Claims | N/A | - 20 = | -0- | X \$18.00 | \$0.00 |
| Independent Claims | N/A | - 3 = | -0- | X \$84.00 | \$0.00 |
| Basic Filing Fee | | | | \$740.00 | \$0.00 |
| TOTAL FEES | | | | | \$0.00 |

- The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.
- ☒ Please address all future correspondence to:

**PATENT COUNSEL
APPLIED MATERIALS, INC.
Legal Affairs Department
P.O. BOX 450A
Santa Clara, CA. 95052**

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail Receipt No. EL975550289US

Date of Deposit: 1/23/04

Signature: [Signature]

Respectfully submitted,

[Signature]
Brian M. Dugan
Registration No. 41,720
(914) 332-9081

Express Mail Label No. EL975550289US

PATENTS
7156/SYNX/JB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Martin R. Elliott, Michael R. Rice, Robert
B. Lowrance, Jeffrey C. Hudgens and Eric A.
Englhardt

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SUBSTRATE CLAMPING MECHANISMS

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Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following references:

U.S. Patent No. 4,927,438, Mears et al.

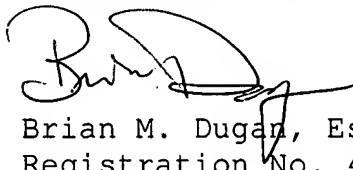
U.S. Patent No. 6,082,949, Rosenquist

U.S. Patent No. 6,409,448 B1, Sindledacker

These references are also listed on the accompanying Information Disclosure Statement (Form PTO-1449) and copies are enclosed.

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Brian M. Dugan", with a stylized flourish at the end.

Brian M. Dugan, Esq.
Registration No. 41,720
Dugan & Dugan, PC
Attorneys for Applicants
(914)332-9081

Dated:

1/23/04
Tarrytown, New York

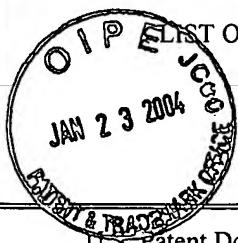
U.S. Department of Commerce, Patent and Trademark Office

Docket No.:

7156/SYNX/JB

Serial No.:

10/650,311



LIST OF RELEVANT ART CITED BY APPLICANT

(Use several sheets if necessary)

Applicant(s):

Martin R. Elliott et al.

Filing Date:

08/28/03

Group:

3652

U.S. Patent Documents

| *Examiner Initial | | Document Number | Issue Date | Name | Class | Subclass | Filing Date If Appropriate |
|-------------------|----|-----------------|------------|--------------|-------|----------|----------------------------|
| | AA | 4,927,438 | 05/22/90 | Mears et al. | | | |
| | AB | 6,082,949 | 07/04/00 | Rosenquist | | | |
| | AC | 6,409,448 B1 | 06/25/02 | Sindledecker | | | |
| | AD | | | | | | |
| | AE | | | | | | |
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| | AH | | | | | | |
| | AI | | | | | | |
| | AJ | | | | | | |
| | AK | | | | | | |

Foreign Patent Documents

Translation

| | | Document Number | Date | Country | Class | Subclass | Yes | No |
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| | AL | | | | | | | |
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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| | AR | |
| | AS | |
| | AT | |

Examiner

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.